



PTO/SB/21 (09-04) (AW 10/2004)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/725,930

Filing Date December 3, 2003

First Named Inventor C. MATSUMI

Art Unit 2653

Examiner Name Tan X. Dinh

Attorney Docket No. MTS-3581US

**ENCLOSURES (Check all that apply)**

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB/08a and PTO/SB/08b; 17 Cited References; Return Receipt Postcard |
| <input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/Declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <b>Remarks:</b>   |   |

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm Name RatnerPrestia

Signature

Printed Name Lawrence E. Ashery

Date May 8, 2006

Registration No. 34,515

**CERTIFICATE OF TRANSMISSION / MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or Printed Name Beth Johnson

Date May 8, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/725,930  
Applicant: C. MATSUMI  
Filed: December 3, 2003  
Title: RECORDING AND REPRODUCING SYSTEM, RECORDING APPARATUS,  
REPRODUCING APPARATUS, RECORD MEDIUM, RECORDING AND  
REPRODUCING METHOD, RECORDING METHOD, REPRODUCING METHOD,  
PROGRAM AND RECORD MEDIUM  
TC/A.U.: 2653  
Examiner: Tan X. Dinh

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and SB/08b submitted herewith. Copies of the references listed, other than U.S. patents, are enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- 1) JP 2002-313070
- 2) JP 3297333
- 3) JP 3098237

References 1) to 3) include English language Abstracts and/or partial translations.

Reference 3) includes corresponding U.S. counterpart patents and published applications.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

LEA/bj

Enclosures: PTO/SB/08a and 08b  
17 References  
Transmittal Form

Dated: May 8, 2006

P.O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 8, 2006.



Beth Johnson

BETHJL

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number 10/725,930

Filing Date December 3, 2003

First Named Inventor C. MATSUMI

Art Unit 2653

Examiner Name Tan X. Dinh

SHEET 1 of 3

Attorney Docket No. MTS-3581US

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-6,006,234	12/21/1999	GOVINDARAJAN et al.	
		US-6,215,746	04-10-2001	ANDO et al.	
		US 6,285,826	09-04-2001	MURASE et al.	
		US 6,351,442	02-26-2002	TAGAWA, et al.	
		US 6,400,893	06-04-2002	MURASE et al.	
		US 6,424,797	07-23-2002	MURASE et al.	
		US 6,442,333	08-27-2002	IZAWA	
		US 6,408,301	06-18-2002	PATTON et al.	
		US 6,282,365 B1 (with counterpart JP 3098237)	08/28/2001	GOTOH ET AL.	
		US 6,292,625 B1 (with counterpart JP 3098237)	09/18/2001	GOTOH ET AL.	
		US 6,314,235 B1 (with counterpart JP 3098237)	11/06/2001	GOTOH ET AL.	
		US 6,574,420 B1 (with counterpart JP 3098237)	06/03/2003	GOTOH ET AL.	
		US 2003/0194216 A1 (with counterpart JP 3098237)	10-16-2003	GOTOH ET AL.	
		US 2003/0194217 A1 (with counterpart JP 3098237)	10-16-2003	GOTOH ET AL.	
		US 2003/0194218 A1 (with counterpart JP 3098237)	10-16-2003	GOTOH ET AL.	
		US 2003/0206721 A1 (with counterpart JP 3098237)	11-06-2003	GOTOH ET AL.	
		US 2002/034130	03-21-2002	TAGAWA et al.	
		US 2003/174593	09-18-2003	TAGAWA et al.	
		US 2002/0049731	04-25-2002	KOTANI	
		US 2001/026504	10-04-2001	NONAKA et al.	
		US 2002/164152	11-07-2002	KATO et al.	
		US 2003/103604	06-06-2002	KATO et al.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 3

## Complete if Known

Application Number 10/725,930

Filing Date December 3, 2003

First Named Inventor C. MATSUMI

Art Unit 2653

Examiner Name Tan X. Dinh

Attorney Docket No. MTS-3581US

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		EP 0 957 487	11-17-1999	Matsushita Electric Industrial Co., Ltd.		
		EP 1 150 297	10-31-2001	Pioneer Corporation		
		EP 1 198 132	04-17-2002	Sony Corp.		
		EP 1 248 259	10-09-2002	Samsung Electronics Co., Ltd.		<input type="checkbox"/>
		EP 0 926 680	06-30-1999	Pioneer Electronic Corporation		
		EP 1 411 520	04-21-2004	Microsoft Corporation		<input type="checkbox"/>
		EP 0 949 622	10-13-1999	Pioneer Electronic Corporation		
		JP 3297333 (with English Abstract from JP 09-198778)	04-12-2002	International Business Mach Corp		<input type="checkbox"/>
		JP 2002-313070 (with English Abstract)	10-25-2002	Matsushita Electric Industrial Co., Ltd.		<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

## Complete if Known

Application Number	10/725,930
Filing Date	December 3, 2003
First Named Inventor	C. MATSUMI
Art Unit	2653
Examiner Name	Tan X. Dinh
Attorney Docket No.	MTS-3581US

SHEET 3 of 3

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		JP 3098237 (with English abstract from JP 2000-322876 and with counterparts US 6,282,365, US 6,292,625, US 6,314,235, US 6,574,420, US 2003/0194216, US 2003/0194217, US 2003/0194218, and US 2003/0206721)	08-11-2000	Matsushita Elec Ind Co Ltd		
		JP 11 297006 (English abstract only)	10-29-1999	Sanyo Electric Co Ltd		<input type="checkbox"/>
		JP 2004-152359 (English abstract only)	05-27-2004	Sharp Corp		<input type="checkbox"/>
		WO 2004/008460	01-22-2004	Apple Computer Inc.		<input type="checkbox"/>
		WO 03/023781	3-20-2003	Thomson Licensing S.A.		<input type="checkbox"/>
		WO 01/28222	4-19-2001	Perception Digital Technology Limited		<input type="checkbox"/>
		WO 2003/054870 A3	07-03-2003	Konin-Klijke Philips Electronics N.V.		<input type="checkbox"/>
		WO 2004/027774 A1	04-01-2004	Koninklijke Philips Electronics N.V.		<input type="checkbox"/>
Examiner Signature			Date Considered			

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